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SAFL/24  
Confirmation No. 3110

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Gregory C. Jensen et al.      Art Unit: 3621  
Serial No.: 10/766,551      Examiner: Elisca E. Pierre  
Filed: January 28, 2004      Atty. Docket No.: SAFL/24  
For: Electronic Transaction Verification System

**TRANSMITTAL**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

Transmittal (in duplicate) containing Certificate of Mailing Under 37 CFR 1.8  
Third Supplemental Information Disclosure Statement  
Substitute Form PTO-1449 (1 page)  
5 Foreign Patent References  
1 Other Document Reference (PCT International Search Report and Written  
Opinion mailed May 31, 2005)  
Reply Postcard

Please charge any additional fees or credit overpayment to Deposit Account No.  
23-3000. A duplicate of this sheet is enclosed.

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By: 

Kurt L. Grossman  
Reg. No. 29,799

**CERTIFICATE OF MAILING 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 29, 2005.

  
Judith L. Volk



## PATENTS

### CERTIFICATE OF MAILING

I hereby certify that this correspondence and enclosures are being deposited with the United States Postal Service as First Class Mail, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450 on June 29, 2005.

*Judith L. Volk*

Judith L. Volk

Serial No: 10/766,551  
Filed: January 28, 2004  
Applicant: Gregory C. Jensen et al.  
Title: ELECTRONIC TRANSACTION VERIFICATION SYSTEM  
Art Unit: 3621  
Examiner: Elisca E. Pierre  
Atty Dock: SAFL-24  
Confirmation No.: 3110

Cincinnati, Ohio 45202

June 29, 2005

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

### **THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.704, the references listed on the attached Supplemental Form PTO-1449 are called to the attention of the United States Patent and Trademark Office in connection with the above-identified patent application. Copies of the foreign patent references are enclosed, while copies of the U.S. Patent and the two U.S. Patent Publications list under the "Other Documents" Section as references A.S. and A.T., respectively, are not provided. If the Examiner desires copies of these references, the Examiner is strongly urged to contact the

undersigned, and Applicants will provide copies forthwith. For completeness of the record, copies of the Search Report and Written Opinion received in the counterpart International Application to this case (Application No. PCT/US2005/000489) are also provided as listed as reference A.R. on the attached Substitute Form PTO-1449. The International Search Report was received in our office on June 2, 2005, and therefore, no fee is believed due for this submission in accordance with C.F.R. 37, §§ 1.97(e)(2) and 1.704(d).

The Examiner is respectfully requested to review each reference cited and to note the review on the attached PTO-1449 form and to reach their own independent determination of its materiality, if any.

**Certification under 37 C.F.R. § 1.97(e)(2)**

Each item of information contained in the Supplemental Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

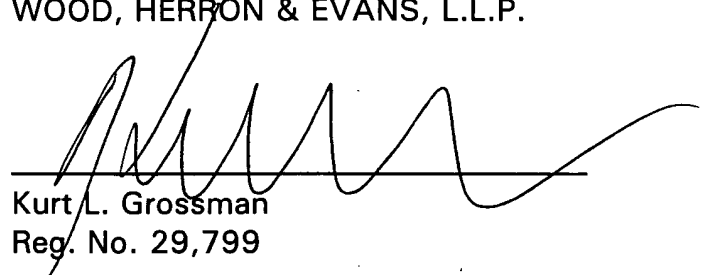
**Certification under 37 C.F.R. § 1.704(d)**

The foreign patent office communication cited herein was not received by any individual designated in 1.56(c) more than thirty days prior to submission of this Supplemental Information Disclosure Statement.

Applicants know of no fees due with this submission as this paper is also filed prior to the receipt of a first Office Action on the Merits of the case. However, should any fees be deemed required, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.



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SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. SAFL/24		SERIAL NO. 10/766,551	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)  (37 CFR 1.98(b))				APPLICANT Gregory C. Jensen et al.		Confirmation No. 3110	
				FILING DATE January 28, 2004		GROUP 3621	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	A.A 5 8 7 8 3 3 7	03/02/1999	Joao et al.	455	406	06/12/1997	
A.B							
A.C							
A.D							
A.E							
A.F							
A.G							
A.H							
A.I							
A.J							
A.K							
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)	
	A.L EP 0 745 961 A2	04.12.1996	EPO	G07F	7/08	Yes	
	A.M FR 2 801 995	08.06.2001	France	G06F	17/60	No - ISR	
	A.N GB 2 368 951 A	15.05.2002	United Kingdom	G07C	9/00	Yes	
	A.O WO 01/52205 A1	19.07.2001	WIPO	G07F	7/10	Yes	
	A.P WO 03/036576 A2	01.05.2003	WIPO	G07F	7/10	Yes	
	A.Q						
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)							
	A.R	<u>PCT International Search Report and Written Opinion</u> , for PCT/US2005/000489 mailed May 31, 2005.					
	A.S	SAKAGUCHI, Ikuo, <u>Card Utilization Approval Method, Card Settlement System and Card Authentication and Settlement Processing Device</u> , U.S. Patent Application Publication No. US-2001-0034707-A1 published October 25, 2001; Application Serial No. 09/838,185, filed April 20, 2001.					
	A.T	LEIVO, Mika et al., <u>Arrangement for Authenticating User and Authorizing Use of Secured System</u> , U.S. Patent Application Publication No. US-2003-0128822-A1 published July 10, 2003; Application Serial No. 10/320,602, filed December 17, 2002.					
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.							